



Halal Center Quality Certification LLC

Title: Halal Certification Scheme - HC/02/13

1. Purpose

The purpose of this scheme is to provide general guidelines on how to conduct halal certification activities in the light of applicable standards and other requirements as specified by regulatory authorities or stake holders. It is to facilitate trade, market access, fair competition and consumer acceptance of products at national, regional and international level.

2. Scope

This document covers the policies & procedures, assessment & evaluation guidelines for the Halal certification of food, cosmetic & personal care products. It is applicable to a third-party Halal certification system for determining the conformity of a product with specified requirements through initial assessment, testing of samples of the product and assessment of the involved Halal Food Management System by assessment and testing of product samples taken from the client's facility or the open market, or both.

This guide addresses conditions for use of a mark of conformity and conditions for granting a certificate of conformity. This document shall be read in conjunction with OIC/SMIIC 01, OIC/SMIIC 02, UAE.S (GSO) 2055-2:2021, GSO 2055-2:2021 & Accreditation body Guidelines for Halal Accreditation. Where there is conflict between standard and the certification scheme, the relevant standard will take precedence. The scope covers certification of the food, cosmetic & personal care products against OIC/SMIIC 01, OIC/SMIIC 02, UAE.S (GSO) 2055-2:2021, GSO 2055-2:2021 Halal standards.

3. Responsibilities

3.1 Quality Manager & Certification Manager:

- Maintain applicable standards
- Provide oversight and enforcement of the rules governing the use of intellectual property.
- Train Halal Center Quality Certification LLC Company (here & after - Halal Center) personnel.
- Ensure Halal Center personnel practice quality consistency through audits, continuing education, technical updates, and training.
- Ensure uniform application of the standard.
- Manage the Quality System to ensure alignment of the certification process as per the OIC/SMIIC 01, OIC/SMIIC 02, UAE.S (GSO) 2055-2:2021, GSO 2055-2:2021 Halal standards, Accreditation body Guidelines, ISO guides and the relevant standards.
- Interpret standard as necessary for clarification in the course of certification assessments and maintain a library of interpretation and guidance relating to the implementation of the standards.
- Represent Halal Center principles and practice to stakeholders and the public.
- Establish and maintain financial independence of the Halal Center.
- Maintain database registry of certified clients.
- Achieve and maintain accreditation to conduct conformity audits based on the applicable standards.
- Conduct conformity audits to the standards in accordance with established policies and procedures.
- Render certification decisions.
- Maintain surveillance of certifications, including adherence to governing intellectual property rules and changes to the scope of certifications.
- Develop and maintain organizational structure and systems in accordance with guidelines and standards as per regulatory requirements.
- Establish and maintain Independent Third-Party status in accordance with guidelines and the relevant standards requirements.

3.2 Basic Client Responsibility:

- The client will be responsible to make all necessary arrangements for the conduct of the assessment, including provision for examining documentation and access to all areas, records (including internal



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audit reports) and personnel for the purposes of evaluation (e.g. testing, inspection, assessment, surveillance, reassessment and resolution of complaints);

- Provide to Halal Center such samples of products, processes, specifications, other documents and access to facilities and those of its subcontractors and suppliers as may be required from time to time for initial assessments and such periodic re-examination of market products to confirm that they continue to conform to the Standards. Sourcing or marked products may be from warehouses, manufacturing facility, factories etc., but in any case, shall be provided to Halal Center at the Client's expense.
- Grant Halal Center and its' accreditation body free access without notice at any time during normal business hours to all of CLIENT's places of production, processes, assembly, shipment or storage of the Products to enable Halal Center to examine the Products, processes or any component parts thereof by witnessing of tests, inspection or other means for continued compliance with the Standards, to monitor CLIENT's control systems applicable to the Products and to inspect any test data, calculations, records or reports required pursuant to Halal Center follow-up procedures for determining continued compliance with the Standards;
- Designate a responsible person or persons to control security with respect to the Halal Center Mark, to maintain records of each of the Products to which the Labels or Marks have been affixed and to provide Halal Center with access to these persons and records during normal business hours; In accordance with Policy for Use of Halal Certification Mark or Logo.
- Affix or apply the Halal Center Mark only at the place or places of production when Halal Center has given initial authorization to CLIENT, only to those Products which comply with all requirements of the Standards.
- Provide copies of certification documents to third parties in their entirety or, alternatively, as specified by Halal Center, if applicable;
- Notify in case of any proposed change in design, method, materials or place of production of the Products; and prevent release of any modified product prior to Halal Center approval.
- Pay Halal Center for the services and charges hereunder at the rates agreed.
- In addition, the client agrees that its equipment, plant, facilities, and procedures, and shall conform to the recommendations of the relevant initial facility inspection, or as otherwise may be specified in writing from time to time by Halal Center.
- Failure of client to permit such access and provide such support shall be a breach of client's obligations, shall in the sole discretion of Halal Center terminate Client's rights hereunder and be due cause for removal by the client of any Halal Center Mark from the Products and the return of all labels containing such Marks to Halal Center.
- Client acknowledges that the sole discretion of Halal Center in issuing and continuing certification is the essence of the licensing agreement.
- Client also acknowledges that Client has the sole responsibility for the continued compliance of its Products with the Standards. Halal Center does not design, develop, manufacture, repair, maintain, produce or otherwise participate or consult in any way with Client's Products or quality controls with regard thereto.

4. Procedure

4.1 Conformity Assessment Functions and Activities

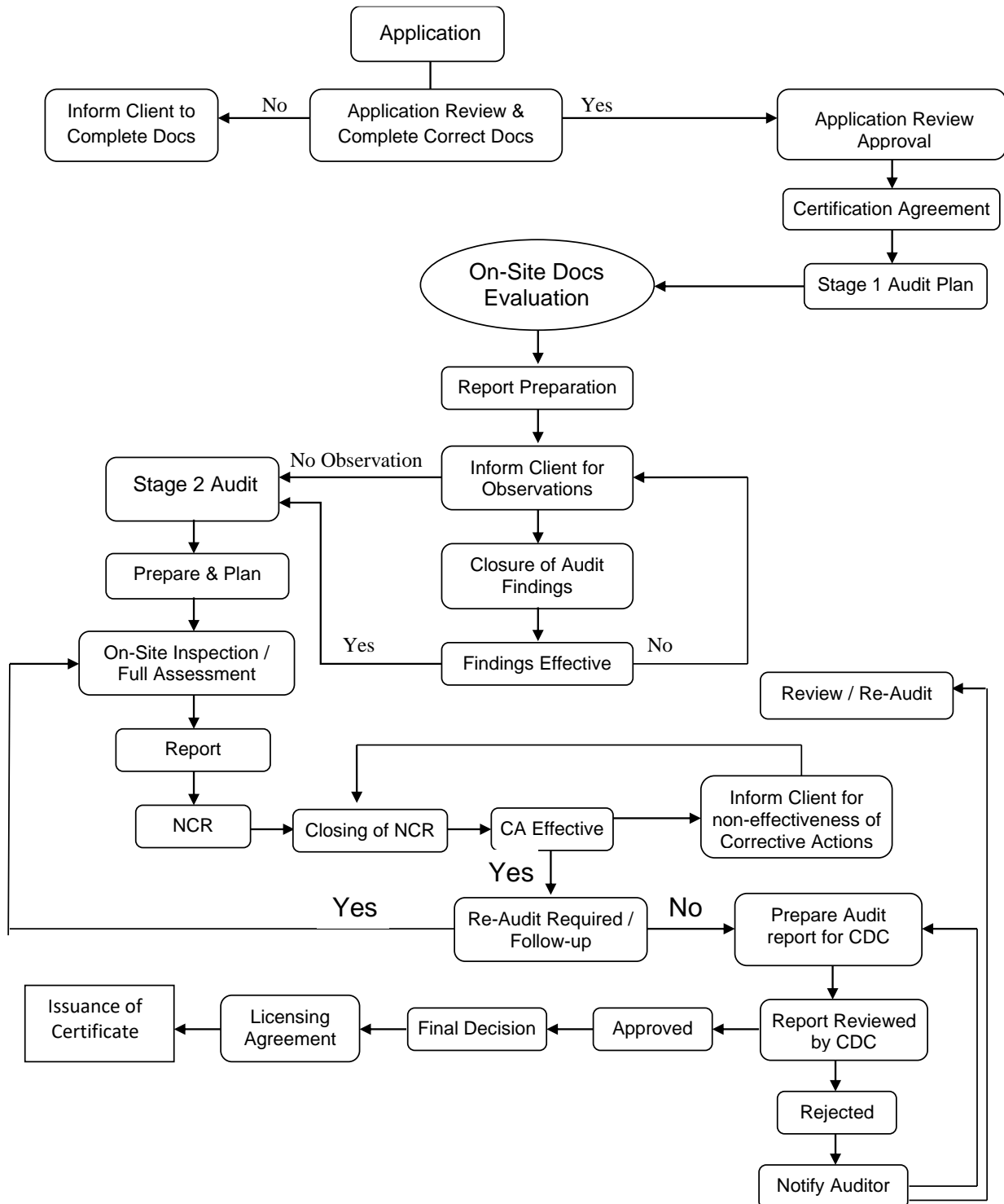
Halal Center maintains (through publications, electronic media or other means), and makes public, without request, in all the geographical areas in which it operates, information about;

- Audit processes;
- Processes for granting, refusing, maintaining, renewing, suspending, restoring or withdrawing certification or expanding or reducing the scope of certification;
- Types of management systems and certification schemes in which it operates;



- The use of halal certification and certification mark or logo;
 - Processes for handling requests for information, complaints and appeals; policy on impartiality.
- The scheme includes the following functions and activities:

4.2 Audit Processes:



4.2.4 Scope of Halal Certification



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Halal Center conducts Halal certification activities as covered by the applicable relevant standards. Specifically, slaughterhouses, food manufacturing & processing industries etc. are assessed according to the requirements of the relevant standards. Halal Center manages the Halal certification schemes with reference to normative documents other than officially issued standards. Such reference documents are also available for access by the public.

Any application received by Halal Center for Halal certification is carefully evaluated to determine the scope of work and corresponding resources that will be needed to conduct the whole process of certification. The products/ services included in a particular application are classified according to the categories/ sectors stated in the applicable Halal certification scheme. Clients may apply for Halal certification of products/services that fall to one or more categories. The scope of the assessment shall include all processes, sectors, products or services on the part of client that have influence on conformity of the product/ service under application to Halal requirements. For a multisite organization, each site shall be audited and certified separately.

4.2.5 Audit Program

Halal Center adopts the principles and guidelines of auditing as per standard requirements. Accepted applications for Halal certification are scheduled for audit, as applicable depending on the requirements of the Halal standard. An audit team is formed by Halal Center, according to the expertise required by the scope of Halal certification applied for by a particular client. The schedule of the audit is agreed upon by the audit team and the client taking into consideration the operations of the organization for assessment.

Audit day(s) are strategically picked in consultation with the client where in the audit team will have an opportunity to assess representative number of product lines, categories or sectors covered by the scope of Halal certification. The appropriate length of time to complete and effectively conduct any audit conducted by Halal Center is determined according to determination of audit time with consideration given to the requirements of the Halal standard and regulatory authorities, criticality of the product, size of the client organization, number of sites, scope and complexity of its management system, and number of product lines and processes.

Audit plan shall be prepared by the assigned leader of the audit team prior to conduct of the audit. It shall include the audit objectives, scope, criteria against which the client shall be evaluated, language to be used, date, sites, audit team and roles, client details, and expected time and duration of each on-site audit activity. Audit plan shall be distributed to the client and all members of the audit team. Any change in the audit plan shall be made known to the client prior to audit date or during the opening meeting if the change is minor.

4.2.6 Audit Stages

Audit program of Halal Center for all Halal certification applications include a two-stage initial audit and annual surveillance audit for the three years duration of certification, at the end of which the client shall apply for a Halal recertification for its products/ services and shall undergo the full certification process. Non-renewal of the certification shall cancel all the rights of the client to use the Halal certificate and Halal Logo/Mark on their products or services, and any matters related to their promotion and advertisement.

Special audits as in the case of scope extension and short-notice audits (i.e. investigation of complaints, response to changes, follow-up on corrective actions) shall also be made known by Halal Center to the client prior to the date of audit. Reports shall be issued to clients (if required) for all audits conducted by Halal Center, as a result of analysis to be done by the audit team based on evidences gathered during the Stage 1 and Stage 2 audits, in addition to documents provided in the application. Any raised nonconformities shall be confirmed by the client and effectiveness of implemented corrective action shall be verified by the auditors. Final files were forwarded to the Certification Decision Team for technical review and final recommendation for certification. Managing Director has final authority for awarding the certification based on the results of technical review by Certification Decision Team.



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4.2.7 Application

Halal Center shall require an authorized representative of the applicant organization to provide the necessary information to enable it to establish the following. It will be done through an application form available on request as well as on our web site.

- the desired scope of the certification;
- relevant details of the applicant organization as required by the specific certification scheme, including its name and the address(es) of its site(s), its processes and operations, human and technical resources, functions, relationships and any relevant legal obligations;
- identification of outsourced processes used by the organization that will affect conformity to requirements;
- the standards or other requirements for which the applicant organization is seeking certification;

Sales & Marketing Executive / Operation Incharge is responsible for collection of application from client.

4.2.8 Application Review

Once the application is received, the submitted documents are reviewed for completeness and correctness by the Operation Incharge. The client is informed of any missing, incomplete or improper document for correction and resubmission. The Sales & Marketing Executive / Operation Incharge, in consultation with the Managing Director / Certification Manager, draws the quotation based on the information contained in the application.

The Operation Incharge, together with the eventually necessary technical expert (e.g., for activities not included in the pricelist) review the application information to verify that:

- Product and client information are sufficient for the certification process;
- All known differences in understanding between Halal Center and the client (including the agreement on standards or other normative documents) are resolved;
- The scope of the certification required is defined;
- The means to conduct all evaluation activities are available when needed;
- The competence and capability to perform the activities required by the application are available when needed.

Operation Incharge assess all eventual criticalities related to the competences needed to meet clients' requests in terms of product category, applicable normative documents or Halal certification scheme. They then conduct all the relevant investigations and inform clients thereof. Products may be considered of the same type when knowledge of the requirements, characteristics and technology related to one product are sufficient to understand the requirements, characteristics and technology related to another product.

In this case, Halal Center can guarantee availability of the competence and capability for all certification activities it has to undertake and keep updated records justifying the decision to undertake the Halal certification. Halal Center shall decline to undertake specific certification if it lacks any competence or capability for the certification activities it is required to undertake.

Halal Center rely on certifications previously issued to the client, or to other clients, in order to omit any activity, reference is made to the certification(s) included in its internal records. Halal Center provides the client a justification for activity omissions upon client's request.

The client, upon understanding the whole process of Halal certification including all his rights and obligations, shall sign the **Certification Agreement**. Sales & Marketing Executive / Operation Incharge is responsible for collection of signed certification agreement from the client.

4.2.9 Determination of Audit Time



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Halal Center has documented procedure for determining audit time, for each client Halal Center determines the time need to plan and accomplish complete and effective audit of the client.

The audit time determined by Halal Center is recorded with proper justification according to requirements of OIC/SMIIC 01, OIC/SMIIC 02, UAE.S (GSO) 2055-2:2021, GSO 2055-2:2021.

Option-1: Minimum Audit Time as per OIC/SMIIC 02:2019

Table-1: Table for Halal Product / Service / Process and/or Management System Categories

Cluster ^a	Category	Subcategory	Examples of included activities
Farming	A Farming of Animals	AI Farming of Animals for Meat/ Milk/ Eggs/ Honey	Raising animals (other than fish and seafood) used for meat production, egg production, milk production or honey production. Growing, keeping, trapping and hunting (slaughtering at point of hunting) Associated farm packing ^b and storage
		AII Farming of Fish and Seafood	Raising fish and seafood used for meat production. Growing, trapping and fishing (slaughtering at point of capture). Associated farm packing ^b and storage
	B Farming of Plants	BI Farming of Plants (other than grains and pulses)	Growing or harvesting of plants (other than grains and pulses): horticultural products (fruits, vegetables, spices, mushrooms, etc.) and hydrophytes for food. Associated farm packing ^b and storage
		BII Farming of Grains and Pulses	Growing or harvesting of grains and pulses for food Associated farm packing ^b and storage
Food and feed processing	C Food Manufacturing	CI Processing of perishable animal products	Production of animal products including fish and seafood, meat, eggs, dairy and fish products
		CII Processing of perishable plant products	Production of plant products including fruits and fresh juices, vegetables, grains, nuts, and pulses
		CIII Processing of perishable animal and plant products (mixed products)	Production of mixed animal and plant products including pizza, lasagne, sandwich, dumpling, ready- to-eat meals
		CIV Processing of ambient stable products	Production of food products from any source that are stored and sold at ambient temperature, including canned foods, biscuits, snacks, oil, drinking water, beverages, pasta, flour, sugar, food-grade salt
	D Animal Feed Production	DI Production of Feed	Production of feed from a single or mixed food source, intended for food-producing animals
		DII Production of Pet Food	Production of feed from a single or mixed food source, intended for non-food producing animals
Catering	E Catering		Preparation, storage and, where appropriate, delivery of food for consumption, at the place of preparation or at a satellite unit
Retail, transport and storage	F Distribution	FI Retail / Wholesale	Provision of finished food products to a customer (retail outlets, shops, wholesalers)
		FII Food Broking / Trading	Buying and selling food products on its own account or as an agent for others. Associated packaging ^c
	G Provision of Transport and Storage Services	GI Provision of Transport and Storage Services for Perishable Food and Feed	Storage facilities and distribution vehicles for the storage and transport of perishable food and feed. Associated packaging ^c
		GII Provision of Transport and Storage Services for Ambient Stable Food and Feed	Storage facilities and distribution vehicles for the storage and transport of ambient stable food and feed. Associated packaging ^c
Auxiliary services	H Services		Provision of services related to the safe production of food, including water supply, pest control, cleaning services, waste disposal.
	I Production of Food Packaging and Packaging Material		Production of food packaging material
	J Equipment manufacturing		Production and development of food processing equipment and vending machines



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Biochemical	K	Production of (Bio) Chemicals	Production of food and feed additives, vitamins, minerals, bio-cultures, flavourings, enzymes and processing aids. Pesticides, drugs, fertilizers, cleaning agents		
Others	L	Other materials manufacturing	LI	Cosmetics	-----
			LII	Textile and textile products	
			LIII	Leather and leather products	
			LIV	NEC (Not elsewhere classified)	
<p>a Clusters are intended to be used for accreditation scope of accredited certification bodies, and for accreditation bodies witnessing certification bodies.</p> <p>b "Farm packing" means packaging without product modification and processing.</p> <p>c "Associated packaging" means packaging without product modification and processing and without altering the primary packaging.</p>					

Minimum Audit Time as per OIC/SMIIC 02:2019

In determining the audit time needed for each site, the Halal certification body should consider the minimum on-site duration for initial certification given in below Table-B.1. The minimum time includes stage 1 and stage 2 of the initial certification audit but does not include the time for preparation of the audit nor for writing the audit report.

The minimum surveillance audit time should be one-third of the initial certification audit time, with a minimum of 0.5 audit days. The minimum renewal time should be two-thirds of the initial certification audit time, with a minimum of 0.5 audit days.

Where there is no relevant certified Halal product/process/service or management system in place, additional time should be added for the audit. To be considered relevant, a management system certificate should cover the scope of food safety for the relevant product/process/service/process. The number of employees should be expressed as the number of full-time equivalent employees related with the scope of certification (FTEs).

The use of multi-site sampling is only possible for categories A, B, E, F and G (see Table B.1) and for organizations with more than 20 sites operating similar processes within these categories. This applies to the initial certification, to surveillance and to recertification audits. The certification body shall justify its decision on sampling for multi-site certification.

Other factors may necessitate increasing the minimum audit time (e.g. number of product types, number of product lines, product development, number of Halal Critical Control Points (CCPs), number of operational PRPs, building area, infrastructure, in-house laboratory testing, need for a translator).

The audit time for all types of audits includes the total time on-site at a client's location (physical or virtual) and time spent off-site carrying out planning, document review, interacting with client personnel and report writing.

The duration of a Halal certification on-site audit should typically not be less than 70% of the audit time calculated following the methodology as given below. This applies to initial, surveillance and recertification audits.

The HCB can apply any reduction or increase in the audit time by using suitable and applicable justifications. This reduction rate should not exceed 30% of audit time according below section.

Calculation of Minimum Initial Certification Audit Time:

Minimum audit time for single site, Ts: $T_s = T_D + T_H + (T_{PV} + T_{FTE}) * CC$

Where;



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TD is the basic on-site audit time, in days;

TH is the audit days for each additional HACCP/Halal CCP product group studies and applied only for products/services/processes group in food-chain. H is considered "0" when company has 1 HACCP/Halal CCP study. For each additional study, number of audit will be increased, for other type of products/services/processes it can be taken as "0".

TPV is the audit days for product variety

TFTE is the number of audit days per number of employees.

CC is the factor as multiplier for process or production complexity class. If after the calculation of Ts, the result is a decimal number, the number of days should be adjusted to the nearest half day or (e.g.: 5.3 audit days becomes 5.5 audit days, 5.2 audit days becomes 5 audit days).

If after the calculation of Ts, the result is a decimal number, the number of days should be rounded up to the next whole or half day (e.g.: 5.3 audit days becomes 5.5 audit days, 5.7 audit days becomes 6 audit days).

Minimum audit time for each additional site, Tasv:

$$Tasv = Ts * 50/100$$

Table B.1 – Minimum initial certification audit time

Category (See Annex A)	B Basic on-site audit time (in audit days)	H* for each additional HACCP/HALAL studies (in audit days)	FTE Number of employees related with the scope of certification (in audit days)	CC Complexity Class (factor, multiplier)	PV** Product Variety (in audit days)	Tasv For each additional site visited (in audit days)	
A	AI	1.00	0.25	1 to 19 = 0.5 20 to 49 = 1.0 50 to 79 = 1.5 80 to 199 = 2.0 200 to 499 = 2.5 500 to 899 = 3.0 900 to 1299 = 3.5 1300 to 1699 = 4.0 1700 to 2999 = 4.5 3000 to 5000 = 5.0 > 5000 = 5.5	Low CC= 1.25	1 to 3 = 0.50 4 to 6 = 1.00 7 to 10 = 1.50 11 to 20 = 2.00 > 20 = 3.00	50 % of minimum on-site evaluation /audit time
	AII	1.00	0.25				
B	BI	1.00	0.25				
	BII	1.00	0.25				
C	CI	1.50	0.50				
	CII	1.25	0.50				
	CIII	1.75	0.50				
	CIV	1.75	0.50				
D	1.50	0.25					
E	1.00	0.50					
F	FI	1.50	0.50		Medium CC=1.50		
	FII	1.25	0.50				
G	GI	1.50	0.50		High CC= 1.75		
	GII	1.00	0.50				
H	H1	1.25	0.50	Very High CC= 2			
	HII	1.75	0.50				
I	1.00	0.25					
J	1.00	0.25					
K	1.75	0.50					
L	LI	1.75	0.50				
	LII	1.25	0.25				
	LIII	1.50	0.50				
	LIV	1.00	0.25				

* H is applied only for products/services in food-chain.

** PV is used for only products not services/processes.

Table B.1 is based on four primary complexity classes of the nature of the processes or production of an organization that fundamentally affect the Halal certification audit time, these are:

- **Very High** – very large number of detailed sub-processes with significant nature (typically manufacturing or processing type organizations with highly significant nonhalal risks. It covers those products or service sectors that potentially have very high risks in terms of Halal aspects, with a high variety of processes or sub-processes or with a very large number of raw materials or inputs);
- **High** – large number of processes with significant nature (typically manufacturing or processing type organizations with significant non-halal risks. It covers those products and service sectors that potentially have high risks in Halal aspects, with many processes.);



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- **Medium** – average number of processes with significant nature (typically manufacturing or service organizations. It covers products and services with moderate potential non-halal risks.);
- **Low** - small number of processes with significant nature (typically organizations with few significant nature. It covers products and services with low potential non-halal risks.);

Table B.1 covers the above four complexity classes. Table B.1 provides the link between the four complexity classes above and the industry sectors that would typically fall into that class.

The Halal certification body should recognize that not all organizations in a specific sector will always fall in the same complexity class. The Halal certification body should allow flexibility in its contract review procedure to ensure that the specific activities of the organization are considered in determining the complexity class.

For example: even though many business in the chemical production sector should be classified as “high complexity”, an organization which would have only a mixing free from chemical reaction, and/or high number or risky raw materials and/or advanced processing could be classified as “medium” or even “low complexity”.

All attributes of the organization’s system, processes, and products/services should be considered and a fair adjustment made for those factors that could justify more or less audit time for an effective audit. Additive factors may be offset by subtractive factors.

In all cases where adjustments are made to the time provided in the audit time Table B1 and B2, sufficient evidence and records shall be maintained to justify the variation.

Table B.2 – Examples of linkage between business sectors and complexity classes.

Complexity Class	Business Sector
Very High	Not else-where classified (n.e.c.) chemicals and pharmaceuticals, processed meat products, genetically modified products, food additives, bio cultures, cosmetics, processing aids and microorganisms.
High	Slaughtering meat and poultry; cheese products; biscuits; snacks; oil; beverages; hotels; restaurants; dietary supplements; cleaning agents; packaging material, textile
Medium	Milk products; fish products; egg products; bee keeping; spices; horticultural products; preserved fruits; preserved vegetables; canned products; pasta; sugar; animal feed; fish feed; water supply; development of product, process and equipment; veterinary services; process equipment; vending machines, leather products
Low	Fish; egg production; milk production; fishing; hunting; trapping; fruits; vegetables; grain; fresh fruits and fresh juices; drinking water; flour; salt; retail outlets; shops; whole-salers, transport and storage;

Option-2: Minimum Audit Time as per UAE.S (GSO) 2055-2:2021, GSO 2055-2:2021

Table-1: Table for Halal Product / Service / Process and/or Management System Categories

Cluster a	Category	Subcategory	Examples of included activities
Farming	A	AI	Farming of Animals for Meat/ Milk/ Eggs/ Honey Raising animals (other than fish and seafood) used for meat production, egg production, milk production or honey production. Growing, keeping, trapping and hunting (slaughtering at point of hunting). Associated farm packing and storage
		AII	Farming of Fish and Seafood Raising fish and seafood used for meat production. Growing, trapping and fishing (slaughtering at point of capture). Associated farm packing and storage
		BI	Growing or harvesting of plants (other than grains and pulses):



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	B	Farming of Plants		Farming of Plants (other than grains and pulses)	horticultural products (fruits, vegetables, spices, mushrooms, etc.) and hydrophytes for food Associated farm packing and storage
			BII	Farming of Grains and Pulses	Growing or harvesting of grains and pulses for food Associated farm packing b and storage
Food and feed processing	C	Food Manufacturing	CI	Processing of perishable animal products	Production of animal products including fish and seafood, meat, eggs, dairy and fish products
			CII	Processing of perishable plant products	Production of plant products including fruits and fresh juices, vegetables, grains, nuts, and pulses
			CIII	Processing of perishable animal and plant products (mixed products)	Production of mixed animal and plant products including pizza, lasagne, sandwich, dumpling, ready- to-eat meals
			CIV	Processing of ambient stable products	Production of food products from any source that are stored and sold at ambient temperature, including canned foods, biscuits, snacks, oil, drinking water, beverages, pasta, flour, sugar, food-grade salt
			CV	Animal slaughtering	Processing of carcasses including slaughtering in Slaughterhouses, cutting, cleaning and packing.
	D	Animal Feed Production	DI	Production of Feed	Production of feed from a single or mixed food source, intended for food-producing animals
			DII	Production of Pet Food	Production of feed from a single or mixed food source, intended for non-food producing animals
Catering	E	Catering			Preparation, storage and, where appropriate, delivery of food for consumption, at the place of preparation or at a satellite unit
Retail, transport and storage	F	Distribution	FI	Retail / Wholesale	Provision of finished food products to a customer (retail outlets, shops, wholesalers)
			FII	Food Broking / Trading	Buying and selling food products on its own account or as an agent for others. Associated packaging
	G	Provision of Transport and Storage Services	GI	Provision of Transport and Storage Services for Perishable Food and Feed	Storage facilities and distribution vehicles for the storage and transport of perishable food and feed Associated packaging
			GII	Provision of Transport and Storage Services for Ambient Stable Food and Feed	Storage facilities and distribution vehicles for the storage and transport of ambient stable food and feed Associated packaging
Auxiliary services	H	Services			Hospitality services, Islamic banking, veterinary services and provision of services related to the safe production of food, including water supply; pest control Services, cleaning services, waste disposal.
	I	Production of Food Packaging and Packaging Material			Production of food packaging material
	J	Equipment manufacturing			Production and development of food processing equipment and vending machines
Biochemical	K	Production of (Bio) Chemicals			Production of food and feed additives, vitamins, minerals, bio-cultures, flavourings, enzymes and processing aids. Pesticides, drugs, fertilizers, cleaning agents

a) Clusters are intended to be used for accreditation scope of accredited certification bodies, and for accreditation bodies witnessing certification bodies.

b) "Farm packing" means packaging without product modification and processing.

c) "Associated packaging" means packaging without product modification and processing and without altering the primary packaging.

Calculation of minimum initial certification audit time

The minimum audit time for a single site, T_s , expressed in days, is calculated as follows:

$$T_s = (TD + TH + TMS + TFTE)$$

Where:

TD: is the basic on-site audit time, in days;

TH: is the number of audit days for additional HACCP studies;

TMS: is the number of audit days for absence of relevant management system;

TFTE: is the number of audit days per number of employees.



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The audit time for each site in addition to the main site, is calculated according to Table B.1 with a minimum of 1 audit day per site.

When properly documented and justified, a reduction can be made for a less complex organization measured by number of employees, size of the organization and/or product volume or within categories having a (Ts) time of less than 1,5 audit days

Category	Basic on-site audit time, in audit days	Number of audit days for each additional HACCP study	Number of audit days for absence of certified relevant management system	Number of audit days per number of employees	For each additional site visited
	<i>TD</i>	<i>TH</i>	<i>TMS</i>	<i>TFTE</i>	
A	0,75	0,25	0,25	1 to 19 = 0	50 % of minimum on-site audit time 50٪ من الحد الأدنى لوقت التدقيق في الموقع
B	0,75	0,25		20 to 49 = 0,5	
C	1,50	0,50		50 to 79 = 1,0	
D	1,50	0,50		80 to 199 = 1,5	
E	1,00	0,50		200 to 499 = 2,0	
F	1,00	0,50		500 to 899 = 2,5	
G	1,00	0,25		900 to 1 299 = 3,0	
H	1,00	0,25		1 300 to 1 699 = 3,5	
I	1,00	0,25		1 700 to 2 999 = 4,0	
J	1,00	0,25		3 000 to 5 000 = 4,5	
K	1,50	0,50		> 5 000 = 5,0	

Note: Calculation of minimum surveillance and recertification audit time The minimum surveillance audit time shall be one-third of the initial certification audit time, with a minimum of 1 audit day (0,5 audit day for categories A and B).

The minimum recertification audit time shall be two-thirds of the initial certification audit time, with a minimum of 1 audit day (0,5 audit day for categories A and B). When properly documented and justified, a reduction to the minimum can be made in a less complex organization measured by number of employees, size of the organization and/or product volume or within categories having an initial minimum audit time of less than 1,5 audit days.

If the scope of a single client facility covers more than one category, the audit time account is the highest recommended basic check. Additional time is needed for each risk analysis point and critical control point, (i.e. a minimum of 0.5 day audit for each risk analysis point and critical control point.

Other factors may necessitate increasing the minimum audit time (e.g. number of product types, number of product lines, product development, number of CCPs, number of operational PRPs, building area, infrastructure, in-house laboratory testing, need for a translator).

4.2.10 Planning audits

4.2.10.1 Determining Audit Objectives, Scope and Criteria

The audit objectives shall be determined by Halal Center. The audit scope and criteria, including any changes, shall be established by Halal Center after discussion with the client.

The audit objectives shall describe what is to be accomplished by the audit and shall include the following:

- determination of the conformity of the client's Management System, or parts of it, with audit criteria;



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- b) determination of the ability of the Management System to ensure the client meets applicable statutory, regulatory and contractual requirements;
- c) determination of the effectiveness of the Management System to ensure the client can reasonably expect to achieve its specified objectives;
- d) as applicable, identification of areas for potential improvement of the Management System.

The audit scope shall describe the extent and boundaries of the audit, such as sites, organizational units, activities and processes to be audited. Where the initial or re-certification process consists of more than one audit (e.g. covering different sites), the scope of an individual audit may not cover the full certification scope, but the totality of audits shall be consistent with the scope in the certification document.

The audit criteria shall be used as a reference against which conformity is determined, and shall include:

- the requirements of a defined normative document on Management Systems;
- the defined processes and documentation of the Management System developed by the client.

4.2.10.2 Audit Team Selection and Assignments

The audit team shall be appointed and composed of auditor/lead auditor, Islamic Shariah Expert & Technical Expert, who between them have the totality of the competences identified for the certification of the client. The audit team shall consist of at least two (2) personnel. One of them shall be technical auditor and the other one shall be a Halal Islamic affairs expert. When determining the audit team to be allocated the following issues need to be considered:

- Standard to be audited
- Product codes/ category
- Auditor status i.e. Lead Auditor / Auditor
- Scope of the audit
- Duration of the audit
- Contractual requirements
- Auditor utilization rates
- Geographical location of the audit site
- Language capabilities of the auditor versus language used by the client

4.2.10.3 Audit plan

Halal Center shall ensure that an audit plan is established prior to each audit identified in the audit program to provide the basis for agreement regarding the conduct and scheduling of the audit activities. The audit plan shall be appropriate to the objectives and the scope of the audit. The audit plan shall at least include or refer to the following:

- the audit objectives;
- the audit criteria;
- the audit scope, including identification of the organizational and functional units or processes to be audited;
- Product codes/ category as per scope of the certification
- the dates and sites where the on-site audit activities will be conducted, including visits to temporary sites and remote auditing activities, where appropriate;
- the expected duration of on-site audit activities;
- the roles and responsibilities of the audit team members and accompanying persons, such as observers or interpreters.

The tasks given to the audit team shall be defined, and require the audit team to:

- Examine and verify the structure, policies, processes, procedures, records and related documents of the client relevant to the management system standard;
- Determine that these meet all the requirements relevant to the intended scope of certification;



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- Determine that the processes and procedures are established, implemented and maintained effectively, to provide a basis for confidence in the client's management system;
- Communicate to the client, for its action, any inconsistencies between the client's policy, objectives and targets.

The audit plan shall be communicated, and the dates of the audit shall be agreed upon, in advance, with the client.

Halal Center shall provide the name of and, when requested, make available background information on each member of the audit team, with sufficient time for the client to object to the appointment of any particular audit team member and for Halal Center to reconstitute the team in response to any valid objection.

4.2.11 Conducting Audits

Halal Center shall have a process for conducting on-site audits. This process shall include an opening meeting at the start of the audit and a closing meeting at the conclusion of the audit. Where any part of the audit is made by electronic means or where the site to be audited is virtual, Halal Center shall ensure that such activities are conducted by personnel with appropriate competence. The evidence obtained during such an audit shall be sufficient to enable the auditor to take an informed decision on the conformity of the requirement in question.

4.2.11.1 Conducting the Opening Meeting:

A formal opening meeting, shall be held with the client's management and, where appropriate, those responsible for the functions or processes to be audited. The purpose of the opening meeting, usually conducted by the audit team leader, is to provide a short explanation of how the audit activities will be undertaken confirmation that, during the audit, the client will be kept informed of audit progress and any concerns;

4.2.11.2 Communication during the Audit

During the audit, the audit team shall periodically assess audit progress and exchange information. The audit team leader shall reassign work as needed between the audit team members and periodically communicate the progress of the audit and any concerns to the client.

Where the available audit evidence indicates that the audit objectives are unattainable or suggests the presence of an immediate and significant risk (e.g. safety), the audit team leader shall report this to the client and, if possible, to Halal Center to determine appropriate action. Such action may include reconfirmation or modification of the audit plan, changes to the audit objectives or audit scope, or termination of the audit. The audit team leader shall report the outcome of the action taken to Halal Center.

The audit team leader shall review with the client any need for changes to the audit scope which becomes apparent as on-site auditing activities progress and report this to Halal Center.

4.2.11.3 Obtaining and Verifying Information

During the audit, information relevant to the audit objectives, scope and criteria (including information relating to interfaces between functions, activities and processes) shall be obtained by appropriate sampling and verified to become audit evidence. Methods to obtain information shall include, but are not limited to interviews, observation of processes and activities and review of documentation and records.

4.2.11.4 Identifying and Recording Audit Findings

Audit findings summarizing conformity and detailing nonconformity shall be identified, classified and recorded to enable an informed certification decision to be made or the certification to be maintained. Opportunities for improvement may be identified and recorded, unless prohibited by the requirements of a management system certification scheme. Audit findings, however, which are nonconformities, shall not be recorded as opportunities for improvement.



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A finding of nonconformity shall be recorded against a specific requirement, and shall contain a clear statement of the nonconformity, identifying in detail the objective evidence on which the nonconformity is based. Nonconformities shall be discussed with the client to ensure that the evidence is accurate and that the nonconformities are understood. The auditor however shall refrain from suggesting the cause of nonconformities or their solution. The audit team leader shall attempt to resolve any diverging opinions between the audit team and the client concerning audit evidence or findings, and unresolved points shall be recorded.

4.2.11.5 Conducting the Closing Meeting

A formal closing meeting, where attendance shall be recorded, shall be held with the client's management and, where appropriate, those responsible for the functions or processes audited. The purpose of the closing meeting, usually conducted by the audit team leader, is to present the audit conclusions, including the recommendation regarding certification. Any non-conformity shall be presented in such a manner that they are understood, and the timeframe for responding shall be agreed. The client shall be given opportunity for questions. Any diverging opinions regarding the audit findings or conclusions between the audit team and the client shall be discussed and resolved where possible. Any diverging opinions that are not resolved shall be recorded and referred to Halal Center.

4.2.11.6 Audit report

- Halal Center shall provide a written report for each audit to the client. The audit team may identify opportunities for improvement but shall not recommend specific solutions. Ownership of the audit report shall be maintained by Halal Center.
- The audit team leader shall ensure that the audit report is prepared and shall be responsible for its content. The audit report shall provide an accurate, concise and clear record of the audit to enable an informed certification decision to be made. The report shall also contain:
 - a statement on the conformity and the effectiveness of the MS together with a summary of the evidence relating to:
 - a conclusion on the appropriateness of the certification scope;
 - Confirmation that the audit objectives have been fulfilled.

4.2.11.7 Cause Analysis of Non-Conformities

Halal Center shall require the client to analyze the cause and describe the specific correction and corrective actions taken, or planned to be taken, to eliminate detected nonconformities, within a defined time.

4.2.11.8 Effectiveness of Corrections and Corrective Actions

Halal Center shall review the corrections, identified causes and corrective actions submitted by the client to determine if these are acceptable. Halal Center shall verify the effectiveness of any correction and corrective actions taken. The evidence obtained to support the resolution of nonconformities shall be recorded. The client shall be informed of the result of the review and verification. The client shall be informed if an additional full audit, an additional limited audit, or documented evidence (to be confirmed during future audits) will be needed to verify effective correction and corrective actions.

4.2.12 Initial Certification - Initial Certification Audit

The initial certification audit of the management system shall be conducted in two stages: Stage-1 and Stage-2 Audits.

4.2.12.1 Stage-1 Audit:

The objectives of stage-1 audit is to:

- review the client's management system documented information;



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- evaluate the client's site-specific conditions and to undertake discussions with the client's personnel to determine the preparedness for stage-2 audit;
- review the client's status and understanding regarding requirements of the standard, in particular with respect to the identification of key performance or significant aspects, processes, objectives and operation of the management system;
- obtain necessary information regarding the scope of the management system including:
 - the client's site(s);
 - processes and equipment used;
 - levels of controls established (particularly in case of multisite clients);
 - applicable statutory and regulatory requirements;
- review the allocation of resources for stage-2 audit and agree the details of stage-2 audit with the client;
- provide a focus for planning stage-2 audit by gaining a sufficient understanding of the client's management system and site operations in the context of the management system standard or other normative document;
- Evaluate if the internal audits and management reviews are being planned and performed, and that the level of implementation of the management system substantiates that the client is ready for stage-2 audit.

Documented conclusions with regard to fulfillment of the stage-1 audit objectives and the readiness for stage-2 audit shall be communicated to the client, including identification of any areas of concern that could be classified as a non-conformity during stage-2 audit.

4.2.12.2 Stage-2 Audit:


The purpose of stage-2 audit is to evaluate the implementation, including effectiveness, of the client's management system. The stage-2 audit shall take place at the site(s) of the client. It shall include the auditing of at least the following:

- information and evidence about conformity to all requirements of the applicable management system standard or other normative documents;
- performance monitoring, measuring, reporting and reviewing against key performance objectives and targets (consistent with the expectations in the applicable management system standard or other normative document);
- the client's management system ability and its performance regarding meeting of applicable statutory, regulatory and contractual requirements;
- operational control of the client's processes;
- internal auditing and management review;
- Management responsibility for the client's policies.

The Stage 2 audit shall be conducted within 90 days from the last date of the Stage 1 audit. Halal Center will provide an audit program prior to the commencement of the audit. The Halal Center audit team will meet with the Client's management to discuss the details of the audit process and consider possible issues relating to the performance of the audit. The Halal Center audit team will discuss any nonconformities, observations and opportunities for improvement if and when they are identified during the audit.

The Halal Center audit team will prepare and present to the Client's management a report of the audit, which will include the audit findings and the scope of certification and will seek agreement, where necessary, on the nature of any corrective actions to be taken.

In cases where independent tests are required by the Halal certification scheme or other regulations, the audit team shall randomly take samples in sufficient quantity from the production/ service premises and sent for testing to a laboratory accredited to ISO 17025. If the Halal certification of products under evaluation will

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be based on the results of the testing, sampling and inspection as needed. Testing will be based on internationally acceptable guidelines such as those issued by ISO (ISO 21569, ISO 21570, ISO 21572, ISO 16578, etc.), CAC (as reflected in the CODEX-STAN 234) or other reputable international bodies.

4.2.12.3 Sampling

Where necessary, the audit team will take samples in sufficient quantities from production/service premises for the performance of the required inspections and tests.

If certification of halal products is based on testing/inspection of batches of the halal product, it will be in accordance with a defined sampling schedule utilizing statistically proven techniques with stated confidence levels. In specifying any requirements for sampling, the Halal Center will select and control of samples to ensure traceability as they are representative of halal production. Samples taken by the audit team will be sent for analysis to the laboratory accredited under ISO/IEC 17025 or recognized upon the approval of halal competent authority.

Sample collection is mandatory as per OIC/SMIIC 02 requirements and may be determined based on risk in accordance with GSO 2055-2 or UAE.S (GSO) 2055-2.

4.2.12.4 Inspections and tests

Inspections and tests on the halal product/service will be determined in accordance with the requirements of the halal product/service and the national and/or regional or international legal provisions. Laboratories that undertake inspections and/or analyses need to be accredited under ISO/IEC 17025 or recognized upon the approval of halal competent authority.

Where independent testing facilities are not available, the Halal Center will ensure that specified controls are in place at the supplier's testing facilities, that they are managed in a manner which provides confidence in the results obtained from that records are available to justify the confidence.

All sampling and testing practice shall be conducted as per Sampling & Testing Procedure ref: HC/02/18

4.2.12.5 Changes to Stages 1 & 2:

If as result of Stage 1 Halal Center determines that the Stage 2 arrangements (i.e. changes in the scope, man-days, auditors, sites) shall be adjusted, the Agreement may be amended. If after Stage 1 Halal Center determines that Halal Center is not ready, Stage 1 can be repeated until it produces satisfactory result to proceed with Stage 2. When Stage 1 & 2 is planned back-to-back Halal Center has the right to postpone Stage 2 at the expenses of the Client if the results of Stage 1 are not satisfactory to proceed with Stage 2.


4.2.12.6 Non-conformity:

Halal Center auditors will identify nonconformities on which the client needs to be taking appropriate corrective actions. When Major Non-Conformity occurs, the client needs to take corrective actions based on root cause analysis within 28 days and submitted to the Halal Center. After the verification of these corrective actions, Halal Center will perform a "follow up audit", which is charged at Halal Center's current rates.

When Minor Non-Conformity occurs, the client needs to take corrective actions based on root cause analysis and submit the evidences within 90 days to the Halal Center. Audit team will verify these corrective actions and evidences

4.2.12.7 Initial Halal Certification Audit Conclusions

The audit team shall analyze all information and audit evidence gathered during stage-1 and stage-2 audit to review the audit findings and agree on the audit conclusions. At least three Halal certification personnel who

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were not involved in the evaluation process (especially for the client’s file under review for certification) shall form the Halal Decision Team. The Team shall review the evaluation results and all technical and administrative aspects of the products / services sought for registration.

Documents forwarded to the Team include but not limited to the audit reports, nonconformity reports and corrective action done by the client, recommendation for certification by the auditors and all documents provided during application. Halal Shariah Board give final recommendation for certification on the based on all information related to the evaluation forms, its review, and any other relevant information using **Request for Certification Form**. Recommendation for certification shall be taken unanimously, not by majority of votes, and shall be based on full review of the results of evaluation. Halal Center is responsible and retains authority for all its decisions relating to certification.

In case of a decision not to grant certification due to non-conformity on the part of the customer, Halal Center shall notify the customer of the reasons for the decision. If the customer expresses interest in continuing the certification process, Halal Center shall coordinate once more with the customer in order for them to initiate the necessary actions to satisfy the requirements of the applicable certification scheme. Upon the client’s submission of the corrective actions and supporting evidences, the processes of evaluation and review are repeated. Should the decision not to grant certification is due to missing information from the evaluation results or other administrative aspects, and then the evaluation report is sent back to the Halal certification personnel who performed the evaluation for correction.

If, on the contrary, the review is successful, the decision to grant certification is taken and therefore the documents for certification are prepared. Halal Center does not authorize third parties to issue, maintain, extend, reduce, suspend or withdraw certification. Eventually outsourced stages of the certification process, under formal agreements and procedures defining their limits, remain under the responsibility of Halal Center.

4.2.12.8 Information for granting initial Halal Certification

- The information provided by the audit team to Halal Center for the Halal certification decision includes, as minimum,
 - The audit reports
 - Comments on the non-conformities and, where applicable, the corrections and the corrective actions taken by the client.
 - Confirmation of the information provided to the Halal certification body used in the application review.
 - A recommendation whether or not to grant a Halal certificate, together with any conditions or observations.
- Halal Center makes the certification decision on the basis of an evaluation of the audit findings and conclusions and any other relevant information (e.g. public information, comments on the audit report from the client).

4.2.13 Surveillance

Halal Center has developed its surveillance activities so that representative areas and functions covered by the scope are monitored on a regular basis and takes into account changes to its certified client. Surveillance activities include on-site audits assessing the fulfillment of specified requirements with respect to the standard to which the certification is granted. Other surveillance activities may include:

- Inquiries from Halal Center to the certified client on aspects of certification
- Reviewing any client’s statements with respect to its operations (e.g. promotional material, website)
- Requests to the client to provide documents and records (on paper or electronic media)
- Other means of monitoring the certified client’s performance.



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Product testing also constitutes the surveillance activities. During the annual surveillance audit or any other time determined appropriate by Halal Center based on applicable Halal certification scheme, a representative sample of the certified product is taken randomly, appropriately sealed by the auditor, and sent to an ISO 17025 accredited laboratory for analysis. Sampling may also be taken from the market through purchase of the Halal certified products. The auditor also reports any eventually withheld changes in the structural organization of the company audited, which may lead to further actions by Halal Center.

4.2.13.1 Surveillance Audit

Surveillance audits are on-site audits and are planned together with the other surveillance activities so that Halal Center can maintain confidence that the system continues to fulfill requirements between recertification audits. The surveillance audit program includes, at least:

- Internal audits and management review
- A review of actions taken on non-conformities identified during the previous audit
- Treatment of complaints
- Effectiveness of products/services/management system with regard to achieving the certified client's objectives
- Progress of planned activities aimed at continual improvement
- Continuing operational control
- Review of any changes
- Use of marks and/or any other reference to certification.

Surveillance audits are conducted at least once a year. The date of first surveillance audit following initial certification is not more than 12 months from the last day of the stage-2 audit.

4.2.13.2 Maintaining Certification

Halal Center maintains certification based on demonstration that the client continues to satisfy the requirements of the relevant standard. It may maintain a client's certification based on a positive conclusion by the audit team leader without further independent review, provided:

- For any non-conformity or other situation that may lead to suspension or withdrawal of certification, Halal Center has a system that requires the audit team leader to report to the Halal Center the need to initiate a review by appropriately competent personnel, different from those who carried out the audit, to determine whether certification can be maintained
- Competent personnel of Halal Center monitor its surveillance activities, including monitoring the reporting by its auditors, to confirm that the halal certification activities are operating effectively.

4.2.14 Recertification

4.2.14.1 Recertification Audit Planning

- A recertification audit is planned and conducted to evaluate the continual fulfillment of all the requirements of the relevant standard. The purpose of the recertification audit is to confirm the continued conformity and effectiveness of the system, and its continued relevance and applicability for the scope of certification.
- The recertification audit considers the performance of the system over the period of Halal certification and includes the review of previous surveillance audit reports.
 - Recertification audit activities may need to have a stage-1 audit in situations where there have been significant changes to product/service/management system, the client, or the context in which the system is operating (e.g. changes to legislation).
 - In case of multiple sites, certification on the relevant standard being provided by Halal Center, the planning for the audit shall ensure adequate on-site audit coverage to provide confidence in the certification.



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4.2.14.2 Recertification Audit

The recertification audit includes an on-site audit that addresses the following:

- The effectiveness of system in entirety in the light of internal and external changes and in continued relevance and applicability to the scope of certification.
- Demonstrated commitment to maintain the effectiveness and improvement of the system in order to enhance overall performance.
- Whether the operation of certified system contributes to the achievement of the organization's policy and objectives.

When, during a recertification audit, instances of nonconformity or lack of evidence of conformity are identified, Halal Center defines time limits for correction and corrective actions to be implemented prior to the expiration of certification.

4.2.14.3 Information for Granting Recertification

- Halal Center makes decisions on renewing certification based on the results of the recertification audit, as well as the results of the review over the period of certification and complaints received from the users of certification.
- Halal certified clients should submit a recertification or renewal application two months prior to expiry date of current Halal certificate.
- Halal certified clients who fail to renew their certificates shall not be allowed to use the Halal mark/logo.

4.2.15 Special Audits

A. Extension to scope

Halal Center, in response to an application for extension to the scope of a certification already granted, undertakes a review of the application and determines any audit activities necessary to decide whether or not the extension may be granted. This may be conducted in conjunction with the surveillance audit.

B. Short-notice Audits

It may be necessary for Halal Center to conduct audits of certified clients at short notice to investigate complaints, or in response to changes, or as follow up on suspended clients, In such cases:

- Halal Center shall describe and make known in advance to the certified clients, the conditions under which these short notice visits are to be conducted.
- Halal Center shall exercise additional care in the assignment of the audit team because of the lack of opportunity for the client to object to audit team members.

C. Certification Changes:

The Client is requested to inform Halal Center promptly of any significant changes to its product(s) or services that may impact the certified management system(s) or any other circumstances, which may affect the validity of its certification. Change of site, additional sites, change of process, change of ownership, change of scope, change of number of employees, etc. are considered as changes which may affect the validity of the certification. Halal Center will then take the appropriate action, such as conducting a special visit and/or changing the certification. Special visits can be conducted as well to investigate complaints received about the Client.

4.2.16 Suspending/Cancelling, Withdrawing or Reducing the Scope of Certification

Halal Center has a policy and documented procedure for suspension, withdrawal or reduction of the scope of certification, and shall specify the subsequent actions by Halal Center. Halal Center shall suspend certification in cases when, for example:



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- The client's certified system has persistently or seriously failed to meet certification requirements, including requirements for effectiveness of the system.
- The certified client does not allow surveillance or recertification audits to be conducted at the required frequencies.
- The client has voluntarily requested a suspension.

Under suspension, the client's certification is temporarily invalid. Halal Center shall have an enforceable arrangement with its clients to ensure that in case of suspension the client refrains from further promotion of its certification. Halal Center shall make the suspended status of the certification publicly accessible and take any other measures if deems appropriate.

Halal Center shall reduce the client's scope of certification to exclude the parts not meeting the requirements, when the client has persistently or seriously failed to meet the certification requirements for those parts of the scope of certification. Any such reduction shall be in line with the requirements of the standard used for certification.

4.2.17 Complaints and Appeals

The complaints management process of Halal Center is based on the following principles:

- objective, impartial and non-discriminatory investigation of complaint/ appeal
- timeliness in the management of the process
- verification of actions taken

Halal Center maintains a system for receiving complaints, appeals, claims and disputes. The client needs to provide the following information.


- Client Details
- Application reference, if applicable
- Nature of complaint

Any member of Complaint & Appeal Committee receiving a complaint/appeal related to a potential problem must promptly obtain information about problem and notify the Quality Manager upon learning of any extortion incident. Certification Manager is responsible to call out a meeting of Complaint & Appeal Committee to discuss necessary actions to be taken. Quality Manager is responsible to coordinate with committee members about the actions taken and not to be taken about complaint/appeal. Quality Manager is responsible to identify the points where the potential problems occurred or from where the information's is to be collected.

Necessary information's are collected and sent immediately to the Quality Manager. He may take the aid of other committee members to accomplish this task, where the committee for investigation comprised of at least 03 persons and at least one of whom is a Halal Islamic affairs expert, who were not involved in the certification process or activities related to the complaint/appeal nor has been employed by the complainant/appellant or render consultancy service for them within two years, shall be formed. The personnel or committee will gather all the necessary information and evidences to verify the merit of the complaint/ appeal. The root cause of a valid complaint/ appeal shall be determined based on outcome of the investigation, and appropriate action plan to prevent recurrence shall be recommended by the Quality Manager, subject to approval by the CERTIFICATION MANAGER.

The effectiveness of the implemented corrective actions shall be verified by the Quality Manager within the time frame specified. **Complaint and Appeal Form** is used to record all activities concerning handling of complaints and appeals. These complaints/ appeals are also part of the management review meeting.

The outcome of complaint/ appeal investigation and final decision, including any action required from the

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client shall be communicated to the complainant/appellant by the Quality Manager. Client's feedback on Halal Center process of handling complaints and appeals are welcomed and duly recorded in the same **Complaint and Appeal Form.**

4.2.18 Records of applicants and clients

Halal Center maintains records on the audit and other certification activities for all clients, including all organizations that submitted applications, and all organizations audited, certified, or with certification suspended or withdrawn. Records of certified clients include the following:

- a. Application information and initial, surveillance and recertification audit reports
- b. Certification agreement
- c. Justification of the methodology used for sampling. (Note: Methodology of sampling includes the sampling employed to assess the Halal management system and/or to select sites in the context of multi sites assessment).
- d. Justification for auditor time determination
- e. Verification of corrective and preventive actions
- f. Records of complaints and appeals and any subsequent corrective and preventive actions
- g. Committee deliberations and decisions if applicable
- h. Documentation of the certification decisions
- i. Certification documents, including the scope of certification with respect to product, process or service, as applicable
- j. Related records necessary to establish the credibility of the certification, such as evidence of the competence of auditors and technical experts.

Halal Center keeps records on applicants and clients secure to ensure that the information is kept confidential. Records are transported, transmitted or transferred under lock and key to ensure that confidentiality is maintained. This phase is supervised by a staff member of Halal Center. Halal Center has documented policy and documented procedure on the retention of records. Records are retained for the duration of the current cycle plus one full certification cycle.


4.2.19 Maintenance and Improvement of Scheme:

Review of scheme operation: This scheme will be reviewed on at least annually basis during a management review meeting. The purpose of review is to confirm the validity of scheme and to identify aspects requiring improvement. The following points will be covered in the review process:

- Provisions to ensure that the scheme requirements are being applied in consistent manner.
- Feedback from stake holders will be considered.
- Complaints and appeals will be reviewed.
- In case any change in the scheme is decided, it will be communicated to Accreditation body and other stake holders.
- Review record will be maintained in the form of minutes of meeting and circulated to all concerned.

Changes in Specified Requirements: Halal Center will monitor the development of the standards and other normative documents which define the specified requirements used in this scheme. Where changes in these documents occur, Quality Management Representative/Quality Manager will be responsible for incorporating these changes in the scheme and for managing implementation of the changes by clients and other stake holders.

Other Changes to the Scheme: Halal Center will follow the Document Change Procedure for managing the implementation of other changes to the rules, procedures and management of the scheme.

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4.2.20 Use of Halal /product certification mark:

Halal Center provides a unique identifier to be displayed in conjunction with the Halal Center mark. The identifier shall be the Halal Center's official acronym and shall be formatted and positioned to the right of the certification mark. The identifier shall be legible and shall be no taller than the height of the Halal Center mark itself.

Halal Center will provide to the product certification body a copy of the mark and authorize applicant organizations to use in conjunction with labeled products. The Halal Center shall provide to the applicant organization of a certified product, the appropriate certification mark artwork and shall monitor that the applicant organization applies and uses the certification mark in accordance with the Policy for Use of Halal Certification Mark or Logo.

4.2.21 Confidentiality and Impartiality:

Halal Center is responsible for ensuring that confidentiality of information is maintained by its employees and those of its subcontractors concerning all information obtained as a result of their contacts with the licensee. It also ensures impartiality. The Halal Center has established confidentiality and impartiality procedures in accordance with the relevant standards.

4.2.22 Fraudulent claim of certification:

Halal Center shall take appropriate action when an applicant organization of a product it has certified, engages in unauthorized, incorrect, or misleading use of the certification mark, whether it is discovered by the product Halal Center or is brought to its attention of competent authority. The Halal Center shall bring to the official notice, any instances of unauthorized use of a certification mark by an applicant organization of a product that has not been certified. The competent authority will engage in appropriate action with the infringing organization.

4.2.23 Miscellaneous:

4.2.23.1 Liability & Financing:

Halal Center intends to support the service activities with fees received from its clients and funds provided by the owner. Revenue of Halal Center: Halal Center is financed by Halal Center own reserves and also financed itself with revenue from:

- Halal Certification
- Systems Certification
- Inspection Services
- Training Services

The financial year runs from 1st July to 30th June. The Financial Statements are drawn up by the Admin & Operation Department, who then submits them to Managing Director for approval. An external auditing company performs an independent verification of the Financial Statements of Halal Center.

4.2.23.2 Service Charges / Fees:

The service charges / fees for certification activities will be adequate for covering all required activities / processes to meet the procedures defined in the Halal Center Quality Manual & related procedures. The breakdown of service charges / fees will be decided mutually depending upon the client size and the scope of certification as provided below.

4.2.23.2.1 Application Fee:

All new or extension of the certification scope clients / applicants will be charged a fixed applications fee of 500 USD for reviewing application and determine the suitability of the application.

4.2.23.2.2 Assessment Fees



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The documents review / initial / surveillance / recertification assessment fee is 500 USD per man-day, this fee represents selection of assessment team, preparation of assessment plan, on site / remote assessment, preparation of assessment report, review of corrective actions/evidences. The assessment fee is calculated in terms of number of man-days required for the assessment. The assessment fees is applicable to all types of assessments.

4.2.23.2.3 Certificate Issuance Fee

The fee of 500 USD will be charged for certificate & schedule of certificate issue once in three-year certification cycle or whenever scope extended or reduced.

4.2.23.2.4 Use of Certification Mark / Logo Fee

The fee shall be charged at the rate of 500 USD for use of certification mark / logo once in a three years certification cycle.

4.2.23.2.5 Traveling & Accommodation Fee

Transportation and Accommodation expenses are covered separately by the client / applicant.

4.2.23.2.6 Laboratory Analysis Fees.

The price agreed with the relevant laboratory as a result of the analyses and tests is collected from the customer.

Note-1: The all fees mentioned are above did not include the tax / charges applied by legal bodies.

Note-3: This fee structure is valid from the effective date of this document till the next revision.

4.2.24 Retention of Records.

Halal Center will retain record to demonstrate that all certification process requirements as explained in the standards and certification scheme are fulfilled. If re-certifications are done on a determined cycle, then records are retained at least for the current and previous cycle. Otherwise, retention time of records will be decided under legal circumstances. The records will be treated as confidential. As a rule, the last copy of obsolete documents will be retained.

5. Records

Application Form	HC/03/06
Application Review Report	HC/03/07
Certification Agreement	HC/03/08
Audit Plan	HC/03/34
Audit Programme	HC/03/35
Halal Audit Report	HC/03/39
Non-Conforming Report	HC/03/27
Halal Decision Team	HC/03/04
Halal Islamic Affair Expert Board	HC/03/05
Directory of Certified Clients	HC/03/42
Sampling & Testing Procedure	HC/02/18



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Annexure-A

A1: List of general documents required from all clients

- Company profile;
- Legal Registration;
- Raw Materials List and Halal Certificates / declarations;
- Finish Products List and Labels/Art Works;
- Product Descriptions / Specifications;
- Halal / Food Safety Team;
- Facility Layout and Process Flow Chart;
- Hazard Analysis, HACCP Plan, Halal Control Plan and OPRP Plans;
- Procedures / SOPs for control of Pre-requisites Programs (PRPs);
- Scientific or recognized evidence for any claims for intended use finish products;
- ISO 22000 / HACCP / GMP / ISO 9001 / Halal Certificate (If available);
- HALAL CENTER can request any additional documents as required.

A2: List of additional documents required from slaughter houses

- Approval from local veterinary department / authority;
- Mechanism for suppliers' control & procurements of birds / animals and related records;
- Birds / animal rest area and animal welfare mechanism;
- Ante-mortem & post mortem mechanism and related records;
- Stunning, slaughtering & bleeding practices used and related records;
- Training on slaughter-man and supervisors;
- Quality assurance / quality control mechanism including laboratory sampling plan and results of finish product analysis, air quality, hand swabs, surface swabs and potable water analysis;
- Food grade and migration test reports for the packaging materials used for meat packing;
- Chilling & freezing mechanism and monitoring records;
- Knives sterilization and related records;
- Cleaning & sanitization schedule / plan and relayed records;
- Waste Handling mechanism and related records;
- Finish product labelling and traceability mechanism.

A3: List of additional documents required from meat processing industries

- Mechanism for suppliers' control & procurements of meat and related records;
- Training on staff and supervisors on Halal;
- Cooking & heat treatment mechanism and related records;
- Quality assurance / quality control mechanism including laboratory sampling plan and results of finish product analysis, air quality, hand swabs, surface swabs and potable water analysis;
- Food grade and migration test reports for the packaging materials;
- Storage, chilling & freezing mechanism and monitoring records;
- Knives sterilization and related records;
- Cleaning & sanitization schedule / plan and relayed records;
- Waste Handling mechanism and related records;
- Finish product labelling and traceability mechanism.

A4: List of additional documents required from dairy processing industries

- Mechanism for suppliers' control & procurements of meat and related records;
- Training on staff and supervisors on Halal;



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- Pasteurization & heat treatment mechanism used and related records;
- Quality assurance / quality control mechanism including laboratory sampling plan and results of finish product analysis, air quality, hand swabs, surface swabs and potable water analysis;
- Food grade and migration test reports for the packaging materials;
- Storage mechanism and monitoring records;
- Cleaning & sanitization schedule / plan and related records;
- Waste Handling mechanism and related records;
- Finish product labelling and traceability mechanism.


A4: List of additional documents required from ambient stable products processing industries

- Mechanism for suppliers' control & procurements of meat and related records;
- Training on staff and supervisors on Halal;
- Good manufacturing practices used and related records;
- Quality assurance / quality control mechanism including laboratory sampling plan and results of finish product analysis, air quality, hand swabs, surface swabs and potable water analysis;
- Food grade and migration test reports for the packaging materials;
- Storage mechanism and monitoring records;
- Cleaning & sanitization schedule / plan and related records;
- Waste handling mechanism and related records;
- Finish product labelling and traceability mechanism.

A5: Supporting Documents for Halal Status of Raw Materials / Processing Aids / Food Additives

Descriptions	Risk Categorization			
	Low	Medium – Low	Medium – High	High
Types of raw materials / processing aids / food additives	<ul style="list-style-type: none"> • Plant materials • Pure seafood • Legumes & lentils • Rice • Ice • Spices • Synthetic chemicals • Salts 	<ul style="list-style-type: none"> • Soybean Products • Olive Oil • Sesame Oil • Vegetable Oil • Synthetic vinegar • Noodles • Pasta • Food additives (excl E400s) 	<ul style="list-style-type: none"> • Enzymes • Cheese & byproducts • Food Additives (excl E400s) • Canned Foods • Confectionery & Pastry • Dairy Products • Processed seafood • Sauces & Condiments • Pure vinegars 	<ul style="list-style-type: none"> • Meat & meat- based items • Poultry & poultry-based items • Beef extracts • Beef tallow • Chicken skin • Chicken fat • Flavorings • Gelatin • Rennet • Other animal-based ingredients.
Types of Documents Required	<ul style="list-style-type: none"> • Product descriptions/ specifications. • Halal Declaration • Supplier Evaluation covering Halal requirements. 	<ul style="list-style-type: none"> • Product descriptions/ specifications. • Halal Certificate or Declaration • Supplier Evaluation covering Halal requirements. 	<ul style="list-style-type: none"> • Product descriptions/ specifications. • Halal Certificate as per applicable standard SMIIC/ GSO/ UAE.S from approved certification body. • Supplier Evaluation covering Halal requirements. 	<ul style="list-style-type: none"> • Product descriptions/ specifications. • Halal Certificate as per applicable standard SMIIC/ GSO/ UAE.S from approved certification body. • Supplier Evaluation covering Halal requirements.

Note: All the above listed guidelines serve as a general specification and not limited to and/or conclusive. HCQ will at its discretion request for more information or documents on the raw materials / processing aids / food

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additives.

A6: Sampling Plan for Laboratory Analysis based on the criticality of the product;

Category	Types of Products	Schedule / Plan
Very High	Chemicals and pharmaceuticals “not elsewhere classified”, processed meat products, genetically modified products, food additives, bio-cultures, cosmetics, processing aids and microorganisms.	Once in 12 months (One sample per each product category / product variety /product type)
High	slaughtering animals and poultry; cheese products; biscuits; snacks; oil; beverages; hotels; restaurants; dietary supplements; cleaning agents; packaging and wrapping material, textile.	Once in 12 months (One sample per each product category / product variety /product type)
Medium	Dairy products; fish products; egg products; beekeeping; spices; horticultural products; preserved fruits; preserved vegetables; canned products; pasta; sugar; animal feed; fish feed; water supply; development of product, process and equipment; veterinary services; process equipment; vending machines, leather products.	Once in 12 Months (One sample per each product category / product variety /product type)
Low	fish; egg production; milk production; fishing; hunting; fruits; vegetables; grain; fresh fruits and fresh juices; drinking water; flour; salt; retail outlets; shops; wholesalers, transport and storage.	Once in 12 months (One sample per each product category / product variety /product type)

Note: The selection of laboratory and procedure for product sampling will be decided by HALAL CENTER. The sample will be packed, marked and sealed by the presence of HALAL CENTER’s Representative and transferred / transported to HALAL CENTER’s notified laboratory. The applicant will bear all costs of the sample testing.

All sampling and testing practice shall be conducted as per Sampling & Testing Procedure ref: HC/02/18

A7: Halal Certification Logo / Mark Usage Verification

Category	Types of Products	Schedule / Plan
Very High	Chemicals and pharmaceuticals “not elsewhere classified”, processed meat products, genetically modified products, food additives, bio-cultures, cosmetics, processing aids and microorganisms.	Once in 06 months (Client premises, IT Sources, Retail & supply chain)
High	slaughtering animals and poultry; cheese products; biscuits; snacks; oil; beverages; hotels; restaurants; dietary supplements; cleaning agents; packaging and wrapping material, textile.	Once in 06 months (Client premises, IT Sources, Retail & supply chain)
Medium	Dairy products; fish products; egg products; beekeeping; spices; horticultural products; preserved fruits; preserved vegetables; canned products; pasta; sugar; animal feed; fish feed; water supply; development of product, process and equipment; veterinary services; process equipment; vending machines, leather products.	Once in 06 months (Client premises, IT Sources, Retail & supply chain)
Low	fish; egg production; milk production; fishing; hunting; fruits; vegetables; grain; fresh fruits and fresh juices; drinking water; flour; salt; retail outlets; shops; wholesalers, transport and storage.	Once in 06 months (Client premises, IT Sources, Retail & supply chain)

Note: Trained employee of HALAL CENTER will verify the usage of Halal certification Logo / Mark in client premises, IT sources, selected retail and supply chain areas.



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**Prepared By
Quality Manager**

**Reviewed and Approved By
Certification Manager**